se through 3/31/2007. OMB 0651-0021 U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to resu TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/FO/US)

ATTORNEY'S DOCKET NUMBER

tion of information unless it displays a valid OMR control numb

· CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2004/002571 14 June 2003 14 June 2004 TITLE OF INVENTION Rope Terminator APPLICANT(S) FOR DO/EO/US Colin R. Pearce and Justin N. Farrelly Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(ft)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) en application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.1. This collection is estimated to take 15 minutes to completed in cruding gathering information, preparising, and submitting the completed from to the USPTO. Then will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trained Affice. U.S. Department of Commerce, P.O. Dis 1.450, Alexandra, V.J. 223-134-U.D. ON TS-END FEES OF COMMETTED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

IAP9 Rec'd PCT/PTO 08 DEC 2009

PTC-1390 (Rev. 07-2005)
PTC-1390 (Rev. 07-2005)
Approved for use through 3/3/2/207. OMB 085-5004
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent And Trademark Office U.S. DEPARTMENT OF COMMERCE
U.S. Patent D.S. DEPARTMENT OF COMMERCE
U.S. DEPARTMENT O

| U.S. APPLICA | 100 NO. (If known | see 37 CFR 1.5) | PCT/GB2004/0025 | | ON NO. | | TORNEY'S DOCI | KET NUMBER |
|---|---|--------------------------------------|--|------------|------------------|-----------------|---------------|--------------|
| | items or informati plemental Tran | | , | | | | | |
| The foll | owing fees have b | een submitted | | | | CALC | ULATIONS | PTO USE ONLY |
| 21. 🔽 Bas | ic national fee (37 | CFR 1.492(a)) | | \$ | 300 | \$ | 300.00 | |
| Examination fee (37 CFR 1.492(e)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | | | 200.00 | 0 |
| 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IFEA/US indicates all claims satisfy provisions of PCT Article 33(1);4) | | | | | | \$ | 500.00 | |
| | TOTAL OF 21, 22 | 2 and 23 = | | | | | 1,000.00 | |
| sequenc electroni | e listing in complia c medium) (37 CF | thereof (round up to a whole number) | | | | | 0.00 | ÷ |
| Surcharge of \$13 | 0.00 for fumishing | any of the sear the national sta | ch fee, examination fee, or the ge (37 CFR 1.492(h)). | | | \$ | 0.00 | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | R | ATE | \$ | 1,000.00 | |
| Total claims | | 14 - 20 = | 0 | , x | \$50.00 | \$ | 0.00 | |
| Independent claims | | 1 -3= | 0 | x | \$200.00 | \$ | 0.00 | |
| MULTIPLE DEPI | ENDENT CLAIM(S |) (if applicable) | | + | \$360.00 | \$ | 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | \$ | 1,000.00 | | |
| Applicant cla | ııms small entity st | atus. See 37 CF | K 1.27. Fees above are reduc | | | | | |
| | | | | | BTOTAL = | \$ | 1,000.00 | l |
| Processing fee of claimed priority of | f \$130.00 for furni: late (37 CFR 1.492 | shing the English ?(i)). | translation later than 30 mont | hs from ti | ne earliest + | \$ | | |
| | | | TOTAL | NATION | AL FEE = | \$ | 1,000.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | | | |
| TOTAL FEES ENCLOSED = | | | | | \$ | 1,000.00 | - | |
| | | _ | | | | Amoun | | \$ |
| | | | | | | Amoun charge | | \$ |

10/560202 IAP9Rec'd PCT/PTO 08 DEC 2005

U.S. Patient and Trademok Office, U.S. DEPARTMENT OF COMMERCE
U.S. Patient and Trademok Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a visit don't number.

| a. A check in the amount of \$ 1,000.00 to cover the above | e fees is enclosed. | | | | | | | |
|--|--------------------------|--|--|--|--|--|--|--|
| 04.0000 | to cover the above fees. | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01.2000 | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | 0,00, | | | | | | | |
| Acons, Ecolete, Blank & Sewell, LUP. 100 East Wiscomen Annual, July 100, Markane, WI 3002-4118, Ext. 101.211-1709. CERTIFICATE OF EXPRESS BALL 1 Insuley, sewed Stat 1th, correspondence in being deposited with the blands States of CERTIFICATION CONTROL CONTROL 1 Insuley Sewed States of CERTIFICATION CONTROL 1 INSUley | | | | | | | | |
| Cartara a. Cohnon 12/08/2005 | 25,058 | | | | | | | |
| Name Dece | REGISTRATION NUMBER | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | × | | | | | | | |
| | * | | | | | | | |
| 1 | | | | | | | | |

10/560202 IAPO Rec'd PCT/PTO 0.8 DEC 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application of |) | Group Art Unit: |
|--------------------------------------|---|-----------------|
| COLIN R. PEARCE ET AL |) | Examiner: |
| Int'l. Appln. No. PCT/ GB2004/002571 |) | ROPE TERMINATOR |
| Int'l. Filing Date: 14 April 2004. |) | |

SUPPLEMENT TO TRANSMITTAL LETTER

Box PCT Application Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450

Sir:

Entry of this application into the National Phase in the United States is pursuant to 37 C.F.R. §1.495. The oath or declaration of the applicants is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

Joseph J. Jochman Reg. No. 25,058

100 East Wisconsin Avenue, Suite 1100 Milwaukee, Wisconsin 53202 (414) 271-7590

Atty. Docket No.: 248-00322